

PTO/SB/02 (05-03)

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|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/713 029        |
| Filing Date            | 11/17/2003        |
| First Named Inventor   | Young-Meng Chiang |
| Art Unit               | 1638              |
| Examiner Name          | Page, Brent T     |
| Attorney Docket Number | 4504-095          |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

45103

☒ Please change the correspondence address for the above-identified application to

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Customer Number:

46103

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Individual Name

Address

Address

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name

Signature

Date

02/09/2007

Telephone

NOTE: Signatures of all the inventors or assignees of record of the above application or their respective attorney(s) are required. Submit multiple forms if more than one signature is required, see below.

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PTO/SB/55 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: (1) Zen-U biotechnology Co., Ltd; and (2) Chun-Yi Lu

Application No./Patent No.: 10/713,029

Filed/Issue Date: 11/17/2003

Entitled: New Method for Producing High OD Phycoerythrin

Zen-U biotechnology Co., Ltd.

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ The assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 82 %

in the patent application/patent identified above by virtue of either:

- A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015132, Frame 0962, or for which a copy thereof is attached.

OR

- B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

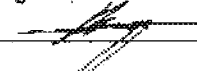
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee

✓  \_\_\_\_\_  
Signature

02/09/2007

Date

CHUN-HSANG, CHEN

Telephone Number

General Manager/Managing Director

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain an action or benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. The collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

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|--|------------------------|-------------------|
| <b>REVOCATION OF POWER OF<br/>ATTORNEY WITH<br/>NEW POWER OF ATTORNEY<br/>AND<br/>CHANGE OF CORRESPONDENCE ADDRESS</b> | Application Number     | 10/713,029        |
|  | Filing Date            | 11/17/2003        |
|  | First Named Inventor   | Young-Ming Chiang |
|  | Art Unit               | 1535              |
|  | Examiner Name          | Page, Brent T     |
|  | Attorney Docket Number | 4504-085          |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

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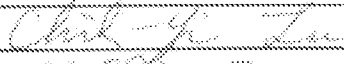
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I am the:

☐ Applicant/inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

|           |   |           |  |
|-----------|---|-----------|--|
| Name      | Chih-Yi Lu  |           |  |
| Signature |  |           |  |
| Date      | 02/09/2007  | Telephone |  |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representatives(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND PERS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: (1) Zen-IJ biotechnology Co., Ltd. and (2) Chih-Yi Lu

Application No./Patent No.: 10713,029 Filed/Issue Date: 11/17/2003

Entitled: New Method for Producing High OD Phycoerythrin

Chih-Yi Lu, a individual

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %.

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015132, Frame 0962, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

*Chih-Yi Lu*  
Signature

02.09.2007  
Date

Chih-Yi Lu  
Printed or Typed Name

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) Chiang, Young-Meng (4) Lu, Chih-Yi  
 (2) Chou, Hong-Nong (5) \_\_\_\_\_  
 (3) Jeng, Juann-Ming (6) \_\_\_\_\_

who have made a certain new and useful invention, hereby sell, assign and transfer unto

1. Zenil Biotechnology Co., LTD. 2. Lu, Chih-Yi  
1. 14A E. No 2, Sec 3, Sinsheng S. Rd., Da-an District, Taipei City 106, Taiwan, R.O.C.  
2. No 897, Jhonghua Rd., 14, Neighborhood, Hsing Village, Shongpu Township, Chiayi County, 606, Taiwan, R.O.C.

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

New Method Producing High OD Phycocerythrin

(a) for which an application for United States Letters Patent was filed on \_\_\_\_\_, and identified by United States Serial No. \_\_\_\_\_ of \_\_\_\_\_

(b) for which an application for United States Letters Patent was executed on \_\_\_\_\_

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures:

INVENTORS

DATE SIGNED

1) \_\_\_\_\_  
 Name: Chiang, Young-Meng  
 2) \_\_\_\_\_  
 Name: Chou, Hong-Nong  
 3) \_\_\_\_\_  
 Name: Jeng, Juann-Ming  
 4) \_\_\_\_\_  
 Name: Lu, Chih-Yi  
 5) \_\_\_\_\_  
 Name: \_\_\_\_\_  
 6) \_\_\_\_\_  
 Name: \_\_\_\_\_

Chiang, Young-Meng Dec 26 2003  
Chou, Hong-Nong Dec 25 2003  
Jeng, Juann-Ming Dec 25 2003  
Lu, Chih-Yi Dec 25 2003

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**NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.**

**Total Assignments: 1****Patent #:** NONE**Issue Dt:****Application #:** 10713029**Filing Dt:** 11/17/2003**Publication #:** US20040137583**Pub Dt:** 07/15/2004**Inventors:** Young-Meng Chiang, Hong-Nong Chou, Jiunn-Ming Jeng, Chih-Yi Lu**Title:** Method for producing high OD phycoerythrin**Assignment: 1****Reel/Frame:** 015132/0962**Recorded:** 03/24/2004**Pages:** 2**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** CHIANG, YOUNG-MENG**Exec Dt:** 12/26/2003CHOU, HONG-NONG**Exec Dt:** 12/25/2003JENG, JIUNN-MING**Exec Dt:** 12/25/2003LU, CHIH-YI**Exec Dt:** 12/25/2003**Assignees:** ZEN-U BIOTECHNOLOGY CO., LTD.

14-A F., NO. 2, SEC. 3, SINSHENG S. RD.

DA-AN DISTRICT, TAIPEI CITY 106, TAIWAN R.O.C.

LU, CHIH-YI

NO. 897, JHONGHUA RD., 14 NEIGHBORHOOD

HESING VILLAGE, JHONGPU TOWNSHIP

CHIAYI COUNTY 606, TAIWAN R.O.C.

**Correspondent:** LOWE HAUPTMAN GILMAN & BERNER

KENNETH M. BERNER

1700 DIAGONAL ROAD, SUITE 300

ALEXANDRIA, VA 22314

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